

# S&P Dow Jones Indices

A Division of **S&P Global**

## Dow Jones U.S. Dividend 100 Index Information

- i. **Does the Dow Jones U.S. Dividend 100 Index reference 25 or more component securities (whether or not the security is an underlying security)?**

As of Jan. 4, 2021, the Dow Jones U.S. Dividend 100 Index consisted of securities from 98 companies.

- ii. **Does the Dow Jones U.S. Dividend 100 Index reference long positions only?**

The Dow Jones U.S. Dividend 100 Index references long positions only.

- iii. **Does the Dow Jones U.S. Dividend 100 Index include any component underlying securities that represent more than 15% of the weight of the component securities in the index?**

As of Jan. 4, 2021, the Dow Jones U.S. Dividend 100 Index did not include any individual component security that represented more than 15% of the weight of the overall index.

- iv. **Does the Dow Jones U.S. Dividend 100 Index include five or fewer component underlying securities that together represent more than 40% of the weight of the component securities in the index?**

As of Jan. 4, 2021, the cumulative weight of the five largest securities in the Dow Jones U.S. Dividend 100 Index did not represent more than 40% of the overall index weight.

- v. **Is the Dow Jones U.S. Dividend 100 Index modified or rebalanced only according to publicly stated, predefined criteria, which may require interpretation by the index provider or a board or committee responsible for maintaining the index?**

The Dow Jones U.S. Dividend 100 Index methodology document describes the criteria for managing the index and includes rebalancing rules and the rules for adding or dropping securities, as well as the manner in which corporate actions on such securities are handled.

- vi. **Is the Dow Jones U.S. Dividend 100 Index referenced by futures contracts or options contracts that trade on a national securities exchange that is registered with the Securities and Exchange Commission or a domestic board of trade that is designed as a contract market by the Commodity Futures Trading Commission (regardless of whether the contracts provide price only or total return exposure to the index or provide for dividend reinvestment in the index)?**

As of Jan. 4, 2021, the Dow Jones U.S. Dividend 100 Index was not referenced in any futures contracts or options contracts.

- vii. **Did the Dow Jones U.S. Dividend 100 Index provide an annual dividend yield in the immediately preceding calendar year from component underlying securities that is greater than 1.5 times the annual dividend yield of the S&P 500® as reported for the immediately preceding calendar year?**

The S&P 500 annual dividend yield (which was computed for trailing 2020) was 1.55% and the Dow Jones U.S. Dividend 100 Index annual dividend yield (which was computed for trailing 2020) was 3.32%. Therefore, the Dow Jones U.S. Dividend 100 Index did provide an annual dividend yield in the immediately preceding calendar year from component underlying securities that is greater than 1.5 times the annual dividend yield of the S&P 500.

## Dow Jones U.S. Dividend 100 Index Information

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