

S&P Dow Jones Indices

A Division of **S&P Global**

Industrial Select Sector Information

- i. Does the Industrial Select Sector reference 25 or more component securities (whether or not the security is an underlying security)?**

As of Jan. 3, 2023, the Industrial Select Sector consisted of 70 securities from 70 companies.

- ii. Does the Industrial Select Sector reference long positions only?**

The Industrial Select Sector references long positions only.

- iii. Does the Industrial Select Sector include any component underlying securities that represent more than 15% of the weight of the component securities in the index?**

As of Jan. 3, 2023, the largest weight of any individual component security of the Industrial Select Sector represented 5.3% of the weight of the overall index.

- iv. Does the Industrial Select Sector include five or fewer component underlying securities that together represent more than 40% of the weight of the component securities in the index?**

As of Jan. 3, 2023, the cumulative weight of the five largest securities in the Industrial Select Sector represented 24.1% of the overall index weight.

- v. Is the Industrial Select Sector modified or rebalanced only according to publicly stated, predefined criteria, which may require interpretation by the index provider or a board or committee responsible for maintaining the index?**

The Industrial Select Sector methodology document describes the criteria for managing the index and includes rebalancing rules and the rules for adding or dropping securities, as well as the manner in which corporate actions on such securities are handled.

- vi. Is the Industrial Select Sector referenced by futures contracts or options contracts that trade on a national securities exchange that is registered with the Securities and Exchange Commission or a domestic board of trade that is designed as a contract market by the Commodity Futures Trading Commission (regardless of whether the contracts provide price only or total return exposure to the index or provide for dividend reinvestment in the index)?**

As of Jan. 3, 2023, the Industrial Select Sector was referenced in the Industrial Select Sector e-mini futures contract traded on the Chicago Mercantile Exchange.

- vii. Did the Industrial Select Sector provide an annual dividend yield in the immediately preceding calendar year from component underlying securities that is greater than 1.5 times the annual dividend yield of the S&P 500[®] as reported for the immediately preceding calendar year?**

The S&P 500 annual dividend yield (which was computed for trailing 2022) was 1.74% and the Industrial Select Sector annual dividend yield (which was computed for trailing 2022) was 1.69%.

Therefore, the Industrial Select Sector did not provide an annual dividend yield in the immediately preceding calendar year from component underlying securities that is greater than 1.5 times the annual dividend yield of the S&P 500.

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