CONSULTATION

S&P Dow Jones Indices
A Division of S&P Global

S&P BMI North American Natural Resources Consultation on Underlying Index

NEW YORK, JUNE 3, 2024: S&P Dow Jones Indices (“S&P DJI”) is conducting a consultation with market participants on a potential change to the S&P BMI North American Natural Resources Index.

In order to enhance index liquidity and diversification and to align with other related indices, S&P DJI is considering changing the underlying index as detailed below.

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<th>Proposed Change</th>
<th>Current Methodology</th>
<th>Proposed Methodology</th>
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<td>Underlying Index</td>
<td>S&amp;P Global LargeMidCap Commodity and Resources Index</td>
<td>S&amp;P Global BMI</td>
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IMPACT ANALYSIS

Impact analysis and historical performance of the S&P BMI North American Natural Resources Index with the proposed change can be found here.

Additional data and analysis may be made available in the Client Resource Center www.spqglobal.com/spdji/en/client-services/, which may be updated from time to time throughout the consultation without notice. Additional data and analysis may also be made available upon request.

IMPLEMENTATION TIMING

S&P DJI proposes to implement the proposed change, if adopted, in conjunction with the upcoming September rebalancing, which takes effect prior to the market open on Tuesday, October 1, 2024. If adopted, this change will first be visible to clients in pro-forma files beginning on Monday, September 23, 2024.

QUESTIONS

Please answer the following questions and provide S&P DJI with the reasoning behind your answers:

1. Do you agree with the proposal to modify the underlying index to the S&P Global BMI?
2. If the proposed change is adopted, do you agree with the proposed implementation date?
3. Do you have any other comments or feedback regarding the proposed change outlined above?

Participation in this consultation is important as S&P DJI gathers information from various market participants in order to properly evaluate market participants’ views and preferences. Please respond to this survey by June 28, 2024, as S&P DJI does not accept responses post the survey closure date. Prior to the Index Committee’s final review, S&P DJI may request clarifications from respondents as part of that review.

To participate in this consultation, please visit the online survey available here.
Please be advised that S&P DJI reviews and considers all feedback before a final decision is made. However, S&P DJI makes no guarantees nor is under any obligation to comply with any of the responses. The consultation may result in no changes or outcome of any kind. If S&P DJI decides to change the index methodology, the change(s) will be announced to clients and posted to the S&P DJI website with prior notice ahead of the effective date.

Thank you for taking the time to complete this survey.

For more information about S&P Dow Jones Indices, please visit www.spglobal.com/spdji.

ABOUT S&P DOW JONES INDICES

S&P Dow Jones Indices is the largest global resource for essential index-based concepts, data and research, and home to iconic financial market indicators, such as the S&P 500® and the Dow Jones Industrial Average®. More assets are invested in products based on our indices than products based on indices from any other provider in the world. Since Charles Dow invented the first index in 1884, S&P DJI has been innovating and developing indices across the spectrum of asset classes helping to define the way investors measure and trade the markets.

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FOR MORE INFORMATION:

S&P Dow Jones Indices
index_services@spglobal.com

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