

Modification to the Methodology of the Dow Jones Brookfield Global Infrastructure ex MLP Corporate Bond Index

NEW YORK, NOVEMBER 30, 2020: S&P Dow Jones Indices (“S&P DJI”) announces the following methodology change to the Dow Jones Brookfield Global Infrastructure ex MLP Corporate Bond Index.

In order to standardize the index Trash Bucket allocation rules, S&P DJI is updating the inclusion rules for the Dow Jones Brookfield Global Infrastructure ex MLP Corporate Bond Index. The table below summarizes the changes:

Change	Previous Methodology	Updated Methodology
Trash Bucket Allocation Rule: Dow Jones Brookfield Global Infrastructure ex MLP Corporate Bond Index	<p>Dow Jones Brookfield Global Infrastructure ex MLP Corporate Bond Index. The following securities are included in the index:</p> <ul style="list-style-type: none"> ○ If a security is either RegS or 144A and is exchange listed, the security is included. ○ Unlisted RegS securities are included in a Trash Bucket, an allocation that cannot exceed 10% of the market value of the index. The Trash Bucket is therefore capped at 10% of the index. ○ Unlisted 144A securities that have registration rights less than 365 days from the date of issue are included. ○ Unlisted 144A with registration rights equal to or greater than 365 days from the date of issue, registration rights that have lapsed, or are without registration rights are included in the Trash Bucket. 	<p>Dow Jones Brookfield Global Infrastructure ex MLP Corporate Bond Index. The following describes the Trash Bucket allocation:</p> <ul style="list-style-type: none"> ○ If a security is either RegS or 144A and is exchange listed, the security is excluded from the Trash Bucket. ○ Unlisted RegS securities are included in a Trash Bucket. Unlisted 144A securities that have been outstanding for a period greater than 365 days from the date of issue to the rebalancing effective date are included in the Trash Bucket allocation. ○ Unlisted 144A securities that have been outstanding for a period less than or equal to 365 days from the date of issue to the rebalancing effective date are not included in the Trash Bucket. ○ The Trash Bucket is capped at 10% of the market value of the index.

These changes will become effective after the close of business on Thursday, December 31, 2020.

Please note that the Dow Jones Brookfield Global Infrastructure Corporate Bond Indices Methodology on S&P DJI’s website is being updated to reflect this change.

Please contact us if you have any questions regarding this notice.

For more information about S&P Dow Jones Indices, please visit www.spdji.com.

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