

## ANNUAL SURVEY OF INDEXED ASSETS

As of December 31, 2013

Each year, S&P Dow Jones Indices conducts a survey to estimate the total assets directly linked to its indices. For 2013, S&P Dow Jones Indices estimates that over USD 7 trillion was benchmarked<sup>1</sup> to the S&P 500® alone, with indexed assets making up USD 1.9 trillion of this total.

Total Assets Linked (USD million)	Non-ETF	ETF	Total Index-Tracking
December 31, 2013	Assets <sup>2</sup>	Assets	Assets <sup>3</sup>
S&P 500	1,596,728	281,568	1,878,296
S&P MidCap 400®	56,355	41,319	97,674
S&P SmallCap 600®	15,176	15,444	30,621
S&P Composite 1500®	34,039	1,055	35,094
Total Market Indices	21,205	10,766	31,971
Completion Indices	75,756	3,121	78,877
Dow Jones Industrial Average™	17,047	15,840	32,887
<b>Total Assets Linked to U.S. First Generation Indices</b>	<b>1,816,305</b>	<b>369,114</b>	<b>2,185,419</b>
Total Assets Linked to Other U.S. Indices	33,257	203,491	236,748
Total Assets Linked to Non-U.S. Indices	86,876	71,075	157,951
<b>Total Assets Linked to S&amp;P or DJ Indices</b>	<b>1,936,438</b>	<b>643,680</b>	<b>2,580,118</b>

<sup>1</sup>Source: Evestment, Morningstar Inc., and S&P DJI Survey.

<sup>2</sup>Non-ETF assets include: Mutual funds, institutional funds, separately managed accounts, and indexed insurance products. The asset values are as of 12/31/13 and are sourced from Morningstar Inc., Evestment, and client reported data.

<sup>3</sup>Total indexed asset numbers are indicative only. Not all companies respond or choose to be included in the survey. S&P Dow Jones Indices does not guarantee the accuracy of these data. These numbers reflect indexed or enhanced assets directly linked to the respective S&P DJI index. Numbers do not include active funds or assets that are benchmarked to S&P DJI indices for performance measurement. Synthetically replicated index-based products, such as derivatives and certain over-the-counter structures, are also not included.

For more information, contact:

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