

# S&P Dow Jones Indices

A Division of **S&P Global**

May 8, 2018

## S&P Dow Jones Indices and the Santiago Exchange Equity Indices Transition

SANTIAGO, MAY 8, 2018: In August 2016, the Santiago Exchange (the “Exchange”) and S&P Dow Jones Indices (“S&P DJI”) signed an Index Operation and License Agreement. The Exchange’s partnership with S&P DJI, the world’s leading provider of index-based concepts, data and research, includes the adoption of international index methodology standards and the integration of operational processes and business strategies and enhances the visibility, governance, and transparency of the existing indices. The agreement also enables the development, licensing, distribution and management of current and future indices which will be designed to serve as innovative and practical tools for local and global investors. The new and existing Santiago Exchange indices will be co-branded under the “S&P/CLX” name (the “Indices”) that can be used to underlie liquid financial products, expanding the breadth and depth of the Chilean capital market. Per this agreement, on Monday, August 6, 2018 (the “Transition Date”), S&P DJI will assume responsibility for the calculation, production, maintenance, licensing, and distribution of the Indices. The Santiago Exchange will continue to license and distribute real-time index data.

### TRANSITION TIMELINE

- |                       |  |
|-----------------------|--|
| <b>June 4, 2018</b>   | Start of the parallel period for clients to begin receiving the S&P DJI Universal File Format (UFF 2.0) files for testing purposes. CLX files and calculations will remain official until August 3, 2018.  |
| <b>August 3, 2018</b> | End of parallel period.  |
| <b>August 6, 2018</b> | Official cutover date, which includes the following activities: <ul style="list-style-type: none"><li>• Indices will be rebranded to S&amp;P/CLX as described above;</li><li>• Revised co-branded methodologies will be posted to the S&amp;P DJI website in English at <a href="http://www.spdji.com">www.spdji.com</a> and Spanish at <a href="http://www.espanol.spdji.com">www.espanol.spdji.com</a> and to the exchange’s website at <a href="http://www.bolsadesantiago.com">www.bolsadesantiago.com</a>;</li><li>• S&amp;P DJI will be the Official Calculator of the Indices listed in <a href="#">Appendix 1</a></li><li>• Cutover to S&amp;P DJI files (will be delivered via SFTP only);</li><li>• Legacy CLX end-of-day (EOD) files will be discontinued; and</li><li>• Index levels will be rebased to the official August 3, 2018 CLX values to ensure continuity of index data through the transition.</li><li>• Only those clients and/or vendors who have signed a contract with S&amp;P DJI for constituent level S&amp;P/CLX data rights (regardless of data delivery method) will continue to have full access, all other users will lose access to this data.</li></ul> |

### CHANGES TO INDEX DATA FILES AND DISTRIBUTION

**\*\*\*IMPORTANT: Clients must take action in order to avoid disruption of data delivery.\*\*\***  
If you are not the right contact for this transition, please forward this document to the appropriate person in your organization.

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## END-OF-DAY FILES

- S&P DJI will make index data available to all CLX index subscribers and vendors in the format that will replace the existing CLX index data files. These new files will be in UFF 2.0 and are being made available for testing purposes during this parallel period, beginning on Monday, June 4, 2018.
- All index data from August 6, 2018, will only be available in UFF 2.0 and only accessible through the S&P DJI EDX platform.
- Effective on August 6, 2018, EOD index data will no longer be available in the legacy CLX file format.
- The new UFF 2.0 files will be retained in the subscriber's S&P DJI EDX inbox for a period of seven (7) calendar days.
- File names for data reports will also change with the transition. A mapping file containing both old and new file names can be found [here](#).

## CORPORATE ACTION FILES

- New corporate action file format (\*.SDE) files will be delivered following the close of trading each business day.
- The Exchange's file delivery will stop following the completion of the parallel period and \*.SDE files will only be available via the S&P DJI EDX platform.
- \*.SDE files will include columns not provided in the CLX Corporate Events file. A broader range of actions will be available in the \*.SDE files.
- Each index will have its own \*.SDE file, which will include only corporate actions affecting that index.
- \*.SDE files will provide advance notice for any transactions effective from the current date plus 20 days.

## REBALANCE ANNOUNCEMENTS

- CLX Rebalance Announcements sent via email will transition to the UFF 2.0 Proforma files - \*\_PRO.SDC.
- Email delivery of the CLX Rebalance Announcements will stop following the completion of the parallel period.
- \*\_PRO.SDC files will be distributed each time an index rebalances. They will be distributed daily for 5 business days prior to each rebalance.
- A summary of rebalance changes will also be included in the UFF 2.0 daily corporate action file (\*.SDE) each time an index rebalances.
- For the purposes of reweighting the indices, starting on August 6, 2018, S&P DJI will begin using a reference date for pricing and foreign exchange rates as of the close of trading 2 business days prior to the rebalance announcement date to establish the rebalance index shares. The rebalance index shares will then remain unchanged through the rebalance effective date.

## METHODOLOGY

- A new S&P/CLX Index committee has been formed to govern the S&P/CLX Index family as of the Transition Date.
- Effective August 6, 2018, the co-branded index methodologies will be made available on the S&P DJI website in both Spanish and English at [www.spdji.com](http://www.spdji.com) and [www.espanol.spdji.com](http://www.espanol.spdji.com)

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and on the Exchange's website at [www.bolsadesantiago.com](http://www.bolsadesantiago.com). The existing methodologies can be found on the exchange's website located [here](#).

- Any changes to the index methodology that result from the '**The Santiago Exchange Indices Methodology Consultation**' will be announced with advanced notice prior to August 6, 2018.

**Note: Parallel files will not be available on EDX until the start of the transition on June 4, 2018.**

For access to the new (EOD) files and to ensure uninterrupted access to the data, please contact S&P DJI at [index\\_services@spglobal.com](mailto:index_services@spglobal.com) or Paulo Sampaio at [paulo.sampaio@spglobal.com](mailto:paulo.sampaio@spglobal.com) prior to June 4, 2018 (the parallel period start date). Additional information is available at the [S&P DJI Client Resource Center](#), including a UFF 2.0 file specification document, sample UFF 2.0 files, FAQ, and file-to-file and field-to-field mapping between CLX files and the new S&P DJI files in UFF 2.0.

We will keep you informed throughout this transition. For further clarification, please contact our customer support team at [Index\\_Services@spglobal.com](mailto:Index_Services@spglobal.com) or Paulo Sampaio at [paulo.sampaio@spglobal.com](mailto:paulo.sampaio@spglobal.com).

Best regards,

## **S&P DOW JONES INDICES**

Beijing +86 10 6569 2905 | Dubai +9714 3717131 | Hong Kong +852 2532 8000 | London +44 20 7176 8888 | New York +1 609 520 4040 | Sydney +61 2 9255 9802 | Tokyo +813 4550 8564

[index\\_services@spglobal.com](mailto:index_services@spglobal.com)

[www.spdji.com](http://www.spdji.com) | [www.djindexes.com](http://www.djindexes.com) | [www.spglobal.com](http://www.spglobal.com)

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ABOUT S&P DOW JONES INDICES S&P Dow Jones Indices is the largest global resource for essential index-based concepts, data and research, and home to iconic financial market indicators, such as the S&P 500® and the Dow Jones Industrial Average®. More assets are invested in products based on our indices than based on any other provider in the world. With over 1,000,000 indices and more than 120 years of experience constructing innovative and transparent solutions, S&P Dow Jones Indices defines the way investors measure and trade the markets. S&P Dow Jones Indices is a division of S&P Global (NYSE: SPGI), which provides essential intelligence for individuals, companies and governments to make decisions with confidence. For more information, visit [www.spdji.com](http://www.spdji.com). For more information:

S&P Dow Jones Indices  
[index\\_services@spglobal.com](mailto:index_services@spglobal.com)

Media Inquiries  
[spdji\\_communications@spglobal.com](mailto:spdji_communications@spglobal.com)

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## APPENDIX 1: INDEX LIST

CO-BRANDED INDEX CODE	INDEX NAME	EXCHANGE CODE
SPCLXIPSA	S&P/CLX IPSA	SPCLXIPSA
SPCLXIPSAT	S&P/CLX IPSA TR	SPCLXIPSAT
SPCLXIGPA	S&P/CLX IGPA	SPCLXIGPA
SPCLXIGPAT	S&P/CLX IGPA TR	SPCLXIGPAT
SPCLXIGPAL	S&P/CLX IGPA LARGE	SPCLXIGL
SPCLXIGPALT	S&P/CLX IGPA LARGE TR	SPCLXIGLT
SPCLXIGPAM	S&P/CLX IGPA MID	SPCLXIGM
SPCLXIGPAMT	S&P/CLX IGPA MID TR	SPCLXIGMT
SPCLXIGPAS	S&P/CLX IGPA SMALL	SPCLXIGS
SPCLXIGPAST	S&P/CLX IGPA SMALL TR	SPCLXIGST
SPCLXINT10	S&P/CLX INTER-10	SPCLXIN10
SPCLXINT10T	S&P/CLX INTER-10 TR	SPCLXIN10T
SPCIGPACCL30CLP	S&P/CLX IGPA Consumer Staples (Chilean Peso)	SPCLX Staples
SPCIGPACCL30CLPTR	S&P/CLX IGPA Consumer Staples (Chilean Peso) TR	SPCLX StaplesT
SPCIGPACCL25CLP	S&P/CLX IGPA Consumer Discretionary (Chilean Peso)	SPCLX Discret
SPCIGPACCL25CLPTR	S&P/CLX IGPA Consumer Discretionary (Chilean Peso) TR	SPCLX DiscretT
SPCIGPACCL10CLP	S&P/CLX IGPA Energy (Chilean Peso)	SPCLX Energy
SPCIGPACCL10CLPTR	S&P/CLX IGPA Energy (Chilean Peso) TR	SPCLX EnergyT
SPCIGPACCL40CLP	S&P/CLX IGPA Financials (Chilean Peso)	SPCLX Financls
SPCIGPACCL40CLPTR	S&P/CLX IGPA Financials (Chilean Peso) TR	SPCLX FinanclsT
SPCIGPACCL35CLP	S&P/CLX IGPA Health Care (Chilean Peso)	SPCLX HlthCr
SPCIGPACCL35CLPTR	S&P/CLX IGPA Health Care (Chilean Peso) TR	SPCLX HlthCrT
SPCIGPACCL45CLP	S&P/CLX IGPA Information Technology (Chilean Peso)	SPCLX IT
SPCIGPACCL45CLPTR	S&P/CLX IGPA Information Technology (Chilean Peso) TR	SPCLX ITT
SPCIGPACCL20CLP	S&P/CLX IGPA Industrials (Chilean Peso)	SPCLX Inds
SPCIGPACCL20CLPTR	S&P/CLX IGPA Industrials (Chilean Peso) TR	SPCLX IndsT
SPCIGPACCL15CLP	S&P/CLX IGPA Materials (Chilean Peso)	SPCLX Materls
SPCIGPACCL15CLPTR	S&P/CLX IGPA Materials (Chilean Peso) TR	SPCLX MaterlsT
SPCIGPACCL60CLP	S&P/CLX IGPA Real Estate (Chilean Peso)	SPCLX RE
SPCIGPACCL60CLPTR	S&P/CLX IGPA Real Estate (Chilean Peso) TR	SPCLX RET
SPCIGPACCL50CLP	S&P/CLX IGPA Telecommunication Services (Chilean Peso)	SPCLX TelecoS
SPCIGPACCL50CLPTR	S&P/CLX IGPA Telecommunication Services (Chilean Peso) TR	SPCLX TelecoST
SPCIGPACCL55CLP	S&P/CLX IGPA Utilities (Chilean Peso)	SPCLX Utils
SPCIGPACCL55CLPTR	S&P/CLX IGPA Utilities (Chilean Peso) TR	SPCLX UtilsT