

## Updated: S&P Dow Jones Indices Announcement for Removal of CNOOC from S&P Fixed Income Indices due to Sanctions

**NEW YORK, JANUARY 25, 2021:** As previously announced, S&P Dow Jones Indices (“S&P DJI”) is continuing to monitor updates related to the November 12, 2020 Executive Order No. 13959 regarding a prohibition on U.S. transactions in certain Chinese securities.

On January 8, 2021, the US Department of the Treasury - Office of Foreign Assets Control (“OFAC”) published an updated *Non-SDN Communist Chinese Military Companies List*. The updated list identifies securities related to **China National Offshore Oil Corp. (CNOOC)**, which was added to the *Department of Defense (DoD) Section 1237 of the National Defense Authorization Act for Fiscal Year 1999 List* on December 3, 2020.

In accordance with the guidelines described in the Sanctions sections of S&P DJI’s [Fixed Income Indices Policies & Practices Methodology](#), the securities listed below will be ineligible for S&P Dow Jones Fixed Income Indices. The securities will be removed from impacted indices effective **close of business on January 29, 2021**. Changes resulting from the removal of these securities will be reflected in the pro forma files for the respective indices.

### Impacted Securities:

ISIN	Issuer Name
US12591DAC56	CNOOC NEXEN FINANCE (2014) ULC
US12591DAD30	CNOOC NEXEN FINANCE (2014) ULC
US12615TAB44	CNOOC FINANCE (2003) LTD
US12621VAB18	CNOOC FINANCE (2011) LTD
US12623UAA34	CNOOC FINANCE (2012) LTD
US12623UAB17	CNOOC FINANCE (2012) LTD
US12625GAC87	CNOOC FINANCE 2013 LTD
US12625GAD60	CNOOC FINANCE 2013 LTD
US12625GAF19	CNOOC FINANCE 2013 LTD
US12625GAG91	CNOOC FINANCE 2013 LTD
US12626HAA95	CNOOC CURTIS FUNDING NO1 PTY LTD
US12634GAC78	CNOOC FINANCE (2015) AUSTRALIA PTY LTD

### Impacted Indices:

S&P U.S. Aggregate Bond Index  
 S&P Global Developed Aggregate Ex-Collateralized Bond Index (USD)  
 S&P U.S. Dollar Global Investment Grade Corporate Bond Index

S&P DJI continues to review the implications of the guidance provided by the OFAC regarding entities impacted by the November 12, 2020 Executive Order. S&P DJI will issue further notices as required.

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